



## PEP DEFINITIONS

Credas' definition of "politically exposed person" (PEP) is derived from the definitions provided by non-legally binding framework legislation by the Wolfsberg Group, FATF, UNCAC, JMLSG and legally-binding legislation by the EU (4MLD) and by the governments of the individual countries.

Tier 1	
Role	Example
Head of State and Deputy	Head of state and their deputies
Head and Members of Government and Deputies	Head and members of government (national level in unitary states; sub-federal/state level in federations; supranational level European Commission, Europe Council) and their deputies
Professional and Ceremonial Heads of the Armed Forces	Heads and tops commanders of the armed forces – armed forces joint command members, commanders of the main branches of the armed forces
Parliaments	Members of the legislature (national level in unitary states; sub-federal/state level in federations; supranational level European Parliament)
Last-Instance Courts	Heads and members of last-instance courts (supreme, constitutional, high, specialised courts, European Court of Justice)
Central Bank and Court of Auditors	Heads and members of Central Bank and Court of Auditors (national level in unitary states; sub-federal/state level in federations; supranational level EU Court of Auditors)
Parliamentary Political Party Leaders	Party leaders and executive council members (parties represented in the national parliament of unitary states and in the federal and sub-federal parliaments in federations)



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Tier 2	
Role	Example
Senior Diplomats	Senior diplomats (ambassadors, high commissioners, charge d'affaires. Permanent representatives)
International Organisations	Head and board members of the executive bodies of international organisations established by treaty
SOE Directors	Members of board of directors of SOEs, top executives (C-level)
Law Enforcement and Regulatory Agencies	Senior officials (e.g. high-ranking civil servants, director generals, directors, heads of units) of agencies and boards appointed by the Head of State, the Government (Cabinet and Ministries) and the Parliament
Regional Governments	Members of executive (e.g. governor, prefect) bodies at sub-national level in unitary states and below sub-federal level in federal jurisdictions
Regional Legislatures	Members of legislative (e.g. aldermen, councilors) bodies at sub-national level in unitary states and below sub-federal level in federal jurisdictions
Local Governments	Mayor of capital cities and large municipalities (megapolis)
District and Regional Courts and Prosecutions	Judges, justices, magistrates, prosecutors, attorneys in courts with jurisdiction at sub-national level in unitary states and below the sub-federal level in federations
Commanders of the Armed Forces	Commanders of major national military units (battalions, brigades, flotillas, bases)
Tier 3	
Role	Example
Middle and Low-Ranking Diplomats	Middle ranking diplomats (minister-counsellors, counsellors, 1 <sup>st</sup> secretaries and 2 <sup>nd</sup> secretaries) and low-ranking diplomats (attaché)
Local Governments	Mayor, council member and senior officials of medium to small municipality